State ____________________________

1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month ______ Day ______ Year ______

2. In what facility did the incident occur?
   Name ____________________________
   City/Place ________________________

3. Where did the incident occur? (Mark (X) all that apply.)
   01 In the victim’s cell/room (if the victim and perpetrator share a cell/room, count as the victim’s cell)
   02 In the perpetrator’s cell/room
   03 In a dormitory or other multiple housing unit
   04 In a common area within a cell block (shower, dayroom)
   05 In a temporary holding cell within the facility
   06 In a program service area (commissary, kitchen, storage, laundry, cafeteria, workshop, or hallway)
   07 Outside the facility
   08 While in transit
   09 Other – Specify __________________

4. What time did the incident occur? (Mark (X) all that apply.)
   01 Morning (6 a.m. to noon)
   02 Afternoon (noon to 6 p.m.)
   03 Evening (6 p.m. to midnight)
   04 Overnight (midnight to 6 a.m.)

5. How many victims were involved in the incident?
   Number of victims __________________
   ➔ If no victims (only perpetrators) were involved, report “0” above, and go to item 13.
   ➔ If more than two victims were involved, report these characteristics in Notes.

6. Victim #1: What was the victim’s gender?
   01 Male
   02 Female
   03 Other – Specify __________________

7. Victim #1: What was the victim’s age at the time of the incident?
   01 Under the age of 13
   02 13–15
   03 16–17
   04 18–19
   05 20–24
   06 25 or older

8. Victim #1: What was the victim’s race/ethnic origin? (Mark (X) all that apply.)
   01 White (not of Hispanic origin)
   02 Black (not of Hispanic origin)
   03 Hispanic or Latino
   04 American Indian/Alaska Native (not of Hispanic origin)
   05 Asian (not of Hispanic origin)
   06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 Other racial category in your information system – Specify __________________

9. Victim #2: What was the victim’s gender?
   01 Male
   02 Female

10. Victim #2: What was the victim’s age at the time of the incident?
    01 Under the age of 13
    02 13–15
    03 16–17
    04 18–19
    05 20–24
    06 25 or older

11. Victim #2: What was the victim’s race/ethnic origin? (Mark (X) all that apply.)
    01 White (not of Hispanic origin)
    02 Black (not of Hispanic origin)
    03 Hispanic or Latino
    04 American Indian/Alaska Native (not of Hispanic origin)
    05 Asian (not of Hispanic origin)
    06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
    07 Other racial category in your information system – Specify __________________

**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 15 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
### Definitions of Sexual Violence

#### Nonconsensual Sexual Acts:
- Contact of any person without his or her consent, or of a person who is unable to consent or refuse; AND
- Contact between the penis and the vagina or the penis and the anus including penetration, however slight; OR
- Contact between the mouth and the penis, vagina, or anus; OR
- Penetration of the anal or genital opening of another person by the hand, finger, or other object.

#### Abusive Sexual Contact: (less severe)
- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

#### Staff Sexual Misconduct:
- Any behavior or act of a sexual nature directed toward a juvenile or youthful offender by an employee, volunteer, contractor, official visitor, or other agency representative (exclude youth family, friends, or other visitors).
- Completed, attempted, threatened, or requested sexual acts; OR
- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

#### Staff Sexual Harassment:
- Repeated profane or obscene language or gestures.

### Section A – YOUTH-ON-YOUTH SEXUAL VIOLENCE

#### 12. Did the victim(s) sustain any physical injury during the incident?
- **Yes**
- **No**

#### 13. Who reported the incident?
- Victim
- Another youth (non-victim)
- Family of victim
- Correctional officer/front line staff
- Administrative staff
- Medical/healthcare staff
- Instructor/teacher
- Counselor
- Chaplain or other religious official
- Other – Specify

#### 14. After the incident was reported, was the victim(s) –?
- Given a medical examination
- Administered a rape kit
- Tested for HIV/AIDS
- Tested for other sexually transmitted diseases
- Provided with counseling or mental health treatment
- None of the above

#### 15. After the incident was reported, was the victim(s) –?
- Placed in administrative segregation/protective custody
- Placed in a medical unit, ward, or hospital
- Confined to own cell/room
- Given a higher custody level within the facility
- Transferred to another facility
- Other – Specify

#### 16. What type of sexual violence was involved in the incident? (See definitions below)
- Youth–on–youth nonconsensual sexual act → Complete Section A
- Youth–on–youth abusive sexual contact → Complete Section A
- Voluntary sexual contact between youth → Complete Section A
- Staff sexual misconduct → Complete Section B
- Staff sexual harassment → Complete Section B

#### 17. How many perpetrators were involved in the incident?
- Number of perpetrators

→ If more than two perpetrators were involved, report these characteristics in Notes.
18. Perpetrator #1: What was the perpetrator’s gender?
01 □ Male 02 □ Female

19. Perpetrator #1: What was the perpetrator’s age at the time of the incident?
01 □ Under the age of 13 04 □ 18–19
02 □ 13–15 05 □ 20–24
03 □ 16–17 06 □ 25 or older

20. Perpetrator #1: What was the perpetrator’s race/ethnic origin? (Mark [X] all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 □ Other racial category in your information system – Specify [ ]

21. Perpetrator #2: What was the perpetrator’s gender?
01 □ Male 02 □ Female

22. Perpetrator #2: What was the perpetrator’s age at the time of the incident?
01 □ Under the age of 13 04 □ 18–19
02 □ 13–15 05 □ 20–24
03 □ 16–17 06 □ 25 or older

23. Perpetrator #2: What was the perpetrator’s race/ethnic origin? (Mark [X] all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 □ Other racial category in your information system – Specify [ ]

24. What was the nature of the incident? (Mark [X] all that apply.)
01 □ Voluntary sexual contact between youths
02 □ Unwanted touching for sexual gratification
03 □ Pressure/coercion (without force) resulting in a nonconsensual sexual act
04 □ Physical force (or the threat of force) resulting in a nonconsensual sexual act
05 □ Other – Specify [ ]

25. What type of pressure or physical force was used by the perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
01 □ Persuasion or talked into sexual activity
02 □ Bribery or blackmail
03 □ Gave victim drugs or alcohol
04 □ Offered protection from other youth
05 □ Threatened with physical harm
06 □ Physically held victim down or restrained in some way
07 □ Physically harmed or injured
08 □ Threatened with a weapon
09 □ Other – Specify [ ]
10 □ None
11 □ No victim (voluntary sexual contact)

26. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
01 □ Placed in solitary confinement or disciplinary segregation
02 □ Confined to own cell/room
03 □ Placed in higher custody level within same facility
04 □ Transferred to another facility
05 □ Loss of "good/gain" time or increase in "bad" time
06 □ Given extra work
07 □ Loss of privileges
08 □ Arrested
09 □ Referred for prosecution
10 □ Given new sentence
11 □ Other – Specify [ ]

Section B – STAFF SEXUAL MISCONDUCT AND HARASSMENT

27. What was the nature of the incident? (Mark [X] all that apply.)
01 □ Physical force resulting in a nonconsensual sexual act
02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 □ Unwanted touching for sexual gratification
05 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
06 □ Sexual relationship between youth and staff that appeared to be willing
07 □ Other – Specify [ ]
08 □ Level of coercion unknown

28. How many staff were involved in the incident?
Number of staff .

→ If more than two staff were involved, report these characteristics in Notes.
29. **Staff #1: What was the gender of the staff?**
   - [ ] Male
   - [ ] Female

30. **Staff #1: What was the age of the staff at the time of the incident?**
   - [ ] 24 or younger
   - [ ] 25 – 29
   - [ ] 30 – 34
   - [ ] 35 – 39

31. **Staff #1: What was the race/ethnic origin of the staff involved in the incident?** *
   (Mark ( ) all that apply.)
   - [ ] White (not of Hispanic origin)
   - [ ] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify

32. **Staff #2: What was the gender of the staff?**
   - [ ] Male
   - [ ] Female

33. **Staff #2: What was the age of the staff at the time of the incident?**
   - [ ] 24 or younger
   - [ ] 25 – 29
   - [ ] 30 – 34
   - [ ] 35 – 39

34. **Staff #2: What was the race/ethnic origin of the staff involved in the incident?** *
   (Mark ( ) all that apply.)
   - [ ] White (not of Hispanic origin)
   - [ ] Black (not of Hispanic origin)
   - [ ] Hispanic or Latino
   - [ ] American Indian/Alaska Native (not of Hispanic origin)
   - [ ] Asian (not of Hispanic origin)
   - [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - [ ] Other racial category in your information system – Specify

35. **Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?** *(Mark ( ) all that apply for all staff involved.)*
   - [ ] Full or part-time paid employee
   - [ ] Contract employee or vendor
   - [ ] Volunteer or intern
   - [ ] Other – Specify

36. **What was the primary position description of the staff involved in the incident?** *(Mark ( ) all that apply for all staff involved.)*
   - [ ] Administrator, including wardens, superintendents, assistants and others in administrative positions
   - [ ] Correctional officer/supervision staff
   - [ ] Clerical including secretaries, clerks, receptionists, and other administrative support
   - [ ] Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   - [ ] Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   - [ ] Education staff, including instructors, teachers, librarians, and education assistants
   - [ ] Other program staff
   - [ ] Other staff – Specify

37. **What sanction was imposed on the staff?** *(Mark ( ) all that apply for all staff involved.)*
   - [ ] Reprimanded or disciplined
   - [ ] Demoted or diminished responsibilities
   - [ ] Transferred to another facility
   - [ ] Arrested
   - [ ] Referred for prosecution
   - [ ] Discharged
   - [ ] Staff resigned (prior to completion of investigation)
   - [ ] Staff resigned (after investigation was completed)
   - [ ] Other – Specify