<table>
<thead>
<tr>
<th>Question</th>
<th>Options</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. On what date did the incident occur?</td>
<td>(If more than one date, report the most recent.)</td>
</tr>
<tr>
<td></td>
<td>Month</td>
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<td></td>
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<tr>
<td>2. In what facility did the incident occur?</td>
<td>Name</td>
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<tr>
<td></td>
<td>City/Place</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>3. Where did the incident occur? (Mark [ ] all that apply.)</td>
<td>01 In the victim’s cell/room (if the victim and perpetrator share a cell/room, count as the victim’s cell)</td>
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<tr>
<td></td>
<td>02 In the perpetrator’s cell/room</td>
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<td></td>
<td>03 In a dormitory or other multiple housing unit</td>
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<td></td>
<td>04 In a common area within a cell block (shower, dayroom)</td>
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<td></td>
<td>05 In a temporary holding cell within the facility</td>
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<td></td>
<td>06 In a program service area (commissary, kitchen, storage, laundry, cafeteria, workshop, or hallway)</td>
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<td></td>
<td>07 Outside the facility</td>
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<tr>
<td></td>
<td>08 While in transit</td>
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<tr>
<td></td>
<td>09 Other – Specify [ ]</td>
</tr>
<tr>
<td>4. What time did the incident occur? (Mark [ ] all that apply.)</td>
<td>01 Morning (6 a.m. to noon)</td>
</tr>
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<td></td>
<td>02 Afternoon (noon to 6 p.m.)</td>
</tr>
<tr>
<td></td>
<td>03 Evening (6 p.m. to midnight)</td>
</tr>
<tr>
<td></td>
<td>04 Overnight (midnight to 6 a.m.)</td>
</tr>
<tr>
<td>5. How many victims were involved in the incident?</td>
<td>Number of victims</td>
</tr>
<tr>
<td></td>
<td>If no victims (only perpetrators) were involved, report “0” above, and go to item 13.</td>
</tr>
<tr>
<td></td>
<td>If more than two victims were reported, report these characteristics in Notes.</td>
</tr>
<tr>
<td>6. Victim #1: What was the victim’s gender?</td>
<td>01 Male</td>
</tr>
<tr>
<td></td>
<td>02 Female</td>
</tr>
<tr>
<td>7. Victim #1: What was the victim’s age at the time of the incident?</td>
<td>01 Under age 18</td>
</tr>
<tr>
<td></td>
<td>02 18 – 24</td>
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<td></td>
<td>03 25 – 29</td>
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<td></td>
<td>04 30 – 34</td>
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<td></td>
<td>05 35 – 39</td>
</tr>
<tr>
<td></td>
<td>06 40 – 44</td>
</tr>
<tr>
<td></td>
<td>07 45 or older</td>
</tr>
<tr>
<td>8. Victim #1: What was the victim’s race/ethnic origin? (Mark [ ] all that apply.)</td>
<td>01 White (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>02 Black (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>03 Hispanic or Latino</td>
</tr>
<tr>
<td></td>
<td>04 American Indian/Alaska Native (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>05 Asian (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>07 Other racial category in your information system – Specify [ ]</td>
</tr>
<tr>
<td>9. Victim #2: What was the victim’s gender?</td>
<td>01 Male</td>
</tr>
<tr>
<td></td>
<td>02 Female</td>
</tr>
<tr>
<td>10. Victim #2: What was the victim’s age at the time of the incident?</td>
<td>01 Under age 18</td>
</tr>
<tr>
<td></td>
<td>02 18 – 24</td>
</tr>
<tr>
<td></td>
<td>03 25 – 29</td>
</tr>
<tr>
<td></td>
<td>04 30 – 34</td>
</tr>
<tr>
<td></td>
<td>05 35 – 39</td>
</tr>
<tr>
<td></td>
<td>06 40 – 44</td>
</tr>
<tr>
<td></td>
<td>07 45 or older</td>
</tr>
<tr>
<td>11. Victim #2: What was the victim’s race/ethnic origin? (Mark [ ] all that apply.)</td>
<td>01 White (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>02 Black (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>03 Hispanic or Latino</td>
</tr>
<tr>
<td></td>
<td>04 American Indian/Alaska Native (not of Hispanic origin)</td>
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<td></td>
<td>07 Other racial category in your information system – Specify [ ]</td>
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</table>

**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 15 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Did the victim(s) sustain any physical injury during the incident?
   a. What injuries occurred?
      (Mark [X] all that apply for all victims.)
      01 Knife or stab wounds
      02 Broken bones
      03 Anal or vaginal tearing
      04 Chipped or knocked out teeth
      05 Internal injuries
      06 Knocked unconscious
      07 Bruises, black eye, sprains, cuts, scratches, swelling, welts
      08 Other – Specify

   b. Did the victim(s) receive medical treatment for these injuries?
      01 Yes
      02 No (No injury sustained)

13. Who reported the incident?
   (Mark [X] all that apply.)
   01 Victim
   02 Another inmate (non-victim)
   03 Family of victim
   04 Correctional officer/front line staff
   05 Administrative staff
   06 Medical/healthcare staff
   07 Instructor/teacher
   08 Counselor
   09 Chaplain or other religious official
   10 Other – Specify

14. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 Given a medical examination
   02 Administered a rape kit
   03 Tested for HIV/AIDS
   04 Tested for other sexually transmitted diseases
   05 Provided with counseling or mental health treatment
   06 None of the above

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 Placed in administrative segregation/protective custody
   02 Placed in a medical unit, ward, or hospital
   03 Confined to own cell/room
   04 Given a higher custody level within the facility
   05 Transferred to another facility
   06 Other – Specify

16. What type of sexual violence was involved in the incident? (See definitions below)
   01 Inmate-on-inmate nonconsensual sexual act → Complete Section A
   02 Inmate-on-inmate abusive sexual contact → Complete Section A
   03 Voluntary sexual contact between adults → Complete Section A
   04 Staff sexual misconduct → Complete Section B
   05 Staff sexual harassment → Complete Section B

Definitions of Sexual Violence

Nonconsensual Sexual Acts: Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Penetration of the anal or genital opening of another person by the hand, finger, or other object.

Abusive Sexual Contact: (less severe) Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDES

Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

Staff Sexual Misconduct: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts including: Intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

Staff Sexual Harassment: Repeated verbal statements or comments of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors). Demeaning references to gender or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Section A – INMATE-ON-INMATE SEXUAL VIOLENCE

17. How many perpetrators were involved in the incident?
   Number of perpetrators

→ If more than two perpetrators were involved, report these characteristics in Notes.
24. What was the nature of the incident?  
(Mark [ ] all that apply.)
01 Voluntary sexual contact between adults  
02 Unwanted touching for sexual gratification  
03 Pressure/coercion (without force) resulting in a nonconsensual sexual act  
04 Physical force (or the threat of force) resulting in a nonconsensual sexual act  
05 Other – Specify [ ]

25. What type of pressure or physical force was used by the perpetrator on the victim?  
(Mark [ ] all that apply for all perpetrators.)
01 Persuasion or talked into sexual activity  
02 Bribery or blackmail  
03 Gave victim drugs or alcohol  
04 Offered protection from other inmates  
05 Threatened with physical harm  
06 Physically held victim down or restrained in some way  
07 Physically harmed or injured  
08 Threatened with a weapon  
09 Other – Specify [ ]

26. What sanction was imposed on the perpetrator(s)?  
(Mark [ ] all that apply for all perpetrators.)
01 Placed in solitary confinement or disciplinary segregation  
02 Confined to own cell/room  
03 Placed in higher custody level within same facility  
04 Transferred to another facility  
05 Loss of "good/gain" time or increase in "bad" time  
06 Given extra work  
07 Loss of privileges  
08 Arrested  
09 Referred for prosecution  
10 Given new sentence  
11 Other – Specify [ ]

Section B – STAFF SEXUAL MISCONDUCT AND HARASSMENT

27. What was the nature of the incident?  
(Mark [ ] all that apply.)
01 Physical force resulting in a nonconsensual sexual act  
02 Pressure or abuse of power resulting in a nonconsensual sexual act  
03 Indecent exposure, invasion of privacy, or voyeurism for sexual gratification  
04 Unwanted touching for sexual gratification  
05 Sexual harassment or repeated verbal statements of a sexual nature by staff  
06 Sexual relationship between inmate and staff that appeared to be willing  
07 Other – Specify [ ]

08 Level of coercion unknown

28. How many staff were involved in the incident?  

Number of staff . . .

→ If more than two staff were involved, report these characteristics in Notes.
### 29. Staff #1: What was the gender of the staff?

- [ ] Male
- [ ] Female

### 30. Staff #1: What was the age of the staff at the time of the incident?

- [ ] 24 or younger
- [ ] 25 – 29
- [ ] 30 – 34
- [ ] 35 – 39

### 31. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

### 32. Staff #2: What was the gender of the staff?

- [ ] Male
- [ ] Female

### 33. Staff #2: What was the age of the staff at the time of the incident?

- [ ] 24 or younger
- [ ] 25 – 29
- [ ] 30 – 34
- [ ] 35 – 39

### 34. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

### 35. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)

- [ ] Full or part-time paid employee
- [ ] Contract employee or vendor
- [ ] Volunteer or intern
- [ ] Other – Specify

### 36. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)

- [ ] Administrator, including wardens, superintendents, assistants and others in administrative positions
- [ ] Correctional officer/supervision staff
- [ ] Clerical including secretaries, clerks, receptionists, and other administrative support
- [ ] Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- [ ] Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- [ ] Education staff, including instructors, teachers, librarians, and education assistants
- [ ] Other program staff
- [ ] Other staff – Specify

### 37. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)

- [ ] Reprimanded or disciplined
- [ ] Demoted or diminished responsibilities
- [ ] Transferred to another facility
- [ ] Arrested
- [ ] Referred for prosecution
- [ ] Discharged
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify

**NOTES**