4. What time did the incident occur? (Mark (x) all that apply.)
   - Morning (6 a.m. to noon)
   - Afternoon (noon to 6 p.m.)
   - Evening (6 p.m. to midnight)
   - Overnight (midnight to 6 a.m.)

5. How many victims were involved in the incident?
   - Number of victims

   → If more than two victims were reported, report these characteristics in Notes.

6. Victim #1: What was the victim’s gender?
   - Male
   - Female

7. Victim #1: What was the victim’s age at the time of the incident?
   - Under age 18
   - 18 – 24
   - 25 – 29
   - 30 – 34
   - 35 – 39
   - 40 – 44
   - 45 or older

8. Victim #1: What was the victim’s race/ethnic origin? (Mark (x) all that apply.)
   - White (not of Hispanic origin)
   - Black (not of Hispanic origin)
   - Hispanic or Latino
   - American Indian/Alaska Native (not of Hispanic origin)
   - Asian (not of Hispanic origin)
   - Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - Other racial category in your information system – Specify

9. Victim #2: What was the victim’s gender?
   - Male
   - Female

10. Victim #2: What was the victim’s age at the time of the incident?
    - Under age 18
    - 18 – 24
    - 25 – 29
    - 30 – 34
    - 35 – 39
    - 40 – 44
    - 45 or older

11. Victim #2: What was the victim’s race/ethnic origin? (Mark (x) all that apply.)
    - White (not of Hispanic origin)
    - Black (not of Hispanic origin)
    - Hispanic or Latino
    - American Indian/Alaska Native (not of Hispanic origin)
    - Asian (not of Hispanic origin)
    - Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
    - Other racial category in your information system – Specify

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**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 15 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Did the victim(s) sustain any physical injury during the incident?
   01 ☐ Yes → a. What injuries occurred?
      (Mark [X] all that apply for all victims.)
      01 ☐ Knife or stab wounds
      02 ☐ Broken bones
      03 ☐ Anal or vaginal tearing
      04 ☐ Teeth chipped or knocked out
      05 ☐ Internal injuries
      06 ☐ Knocked unconscious
      07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
      08 ☐ Other – Specify

   02 ☐ No (No injury sustained)

13. Who reported the incident?
   (Mark [X] all that apply.)
   01 ☐ Victim
   02 ☐ Another inmate (non-victim)
   03 ☐ Family of victim
   04 ☐ Correctional officer/front line staff
   05 ☐ Administrative staff
   06 ☐ Medical/healthcare staff
   07 ☐ Instructor/teacher
   08 ☐ Counselor
   09 ☐ Chaplain or other religious official
   10 ☐ Other – Specify

14. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 ☐ Given a medical examination
   02 ☐ Administered a rape kit
   03 ☐ Tested for HIV/AIDS
   04 ☐ Tested for other sexually transmitted diseases
   05 ☐ Provided with counseling or mental health treatment
   06 ☐ None of the above

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 ☐ Placed in administrative segregation/protective custody
   02 ☐ Placed in a medical unit, ward, or hospital
   03 ☐ Confined to own cell/room
   04 ☐ Given a higher custody level with the facility
   05 ☐ Transferred to another facility
   06 ☐ Other – Specify

   07 ☐ None of the above

16. What type of sexual violence was involved in the incident? (See definitions below)
   01 ☐ Inmate-on-inmate nonconsensual sexual act → Complete Section A
   02 ☐ Inmate-on-inmate abusive sexual contact → Complete Section A
   03 ☐ Staff sexual misconduct → Complete Section B
   04 ☐ Staff sexual harassment → Complete Section B

Definitions of Sexual Violence

Nonconsensual Sexual Acts: Contact of any person without his or her consent, or of a person who is unable to consent or refuse;
   AND
   Contact between the penis and the vagina or the penis and the anus including penetration, however slight; OR
   Contact between the mouth and the penis, vagina, or anus;
   OR
   Penetration of the anal or genital opening of another person by the hand, finger, or other object.

Abusive Sexual Contact: (less severe) Contact of any person without his or her consent, or of a person who is unable to consent or refuse;
   AND
   Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.
   EXCLUDES
   Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

Staff Sexual Misconduct: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).
   OR
   Completed, attempted, threatened, or requested sexual acts;
   OR
   Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

Staff Sexual Harassment: Repeated verbal statements or comments of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).
   OR
   Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts including: Intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire;
   OR
   Repeated profane or obscene language or gestures.

Section A – INMATE-ON-INMATE SEXUAL VIOLENCE

17. How many perpetrators were involved in the incident?
   Number of perpetrators _________
   → If more than two perpetrators were involved, report these characteristics in Notes.
18. Perpetrator #1: What was the perpetrator’s gender?
   - Male 01
   - Female 02

19. Perpetrator #1: What was the perpetrator’s age at the time of the incident?
   - Under age 18 01
   - 18–24 02
   - 25–29 03
   - 30–34 04
   - 35–39 05
   - 40–44 06
   - 45 or older 07

20. Perpetrator #1: What was the perpetrator’s race/ethnic origin? (Mark [✓] all that apply.)
   - White (not of Hispanic origin) 01
   - Black (not of Hispanic origin) 02
   - Hispanic or Latino 03
   - American Indian/Alaska Native (not of Hispanic origin) 04
   - Asian (not of Hispanic origin) 05
   - Native Hawaiian or Other Pacific Islander (not of Hispanic Origin) 06
   - Other racial category in your information system – Specify 07

21. Perpetrator #2: What was the perpetrator’s gender?
   - Male 01
   - Female 02

22. Perpetrator #2: What was the perpetrator’s age at the time of the incident?
   - Under age 18 01
   - 18–24 02
   - 25–29 03
   - 30–34 04
   - 35–39 05
   - 40–44 06
   - 45 or older 07

23. Perpetrator #2: What was the perpetrator’s race/ethnic origin? (Mark [✓] all that apply.)
   - White (not of Hispanic origin) 01
   - Black (not of Hispanic origin) 02
   - Hispanic or Latino 03
   - American Indian/Alaska Native (not of Hispanic origin) 04
   - Asian (not of Hispanic origin) 05
   - Native Hawaiian or Other Pacific Islander (not of Hispanic Origin) 06
   - Other racial category in your information system – Specify 07

24. What was the nature of the incident? (Mark [✓] all that apply.)
   - Voluntary sexual contact between adults 01
   - Unwanted touching for sexual gratification 02
   - Pressure/coercion (without force) resulting in a nonconsensual sexual act 03
   - Physical force (or the threat of force) resulting in a nonconsensual sexual act 04
   - Other – Specify 05

25. What type of pressure or physical force was used by the perpetrator on the victim? (Mark [✓] all that apply for all perpetrators.)
   - Persuasion or talked into sexual activity 01
   - Threatened with physical harm 02
   - Physically held victim down or restrained in some way 03
   - Threatened with a weapon 04
   - Other – Specify 05

26. What sanction was imposed on the perpetrator? (Mark [✓] all that apply for all perpetrators.)
   - Placed in solitary confinement or disciplinary segregation 01
   - Confined to own cell/room 02
   - Placed in higher custody level within same facility 03
   - Transferred to another facility 04
   - Loss of “good/gain” time or increase in “bad” time 05
   - Given extra work 06
   - Loss of privileges 07
   - Arrested 08
   - Referred for prosecution 09
   - Given new sentence 10
   - Other – Specify 11

27. What was the nature of the incident? (Mark [✓] all that apply.)
   - Physical force resulting in a nonconsensual sexual act 01
   - Pressure or abuse of power resulting in a nonconsensual sexual act 02
   - Indecent exposure, invasion of privacy, or voyeurism for sexual gratification 03
   - Unwanted touching for sexual gratification 04
   - Sexual harassment or repeated verbal statements of a sexual nature by staff 05
   - Sexual relationship between inmate and staff that appeared to be willing 06
   - Other – Specify 07
   - Level of coercion unknown 08

28. How many staff were involved in the incident?
   - Number of staff...

   → If more than two staff were involved, report these characteristics in Notes.
29. Staff #1: What was the gender of the staff?
01 □ Male 02 □ Female

30. Staff #1: What was the age of the staff at the time of the incident?
01 □ 24 or younger 05 □ 40 – 44
02 □ 25 – 29 06 □ 45 – 54
03 □ 30 – 34 07 □ 55 or older
04 □ 35 – 39

31. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark (x) all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify

32. Staff #2: What was the gender of the staff?
01 □ Male 02 □ Female

33. Staff #2: What was the age of the staff at the time of the incident?
01 □ 24 or younger 05 □ 40 – 44
02 □ 25 – 29 06 □ 45 – 54
03 □ 30 – 34 07 □ 55 or older
04 □ 35 – 39

34. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark (x) all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify

35. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark (x) all that apply for all staff involved.)
01 □ Full or part-time paid employee
02 □ Contract employee or vendor
03 □ Volunteer or intern
04 □ Other – Specify

36. What was the primary position description of the staff involved in the incident? (Mark (x) all that apply for all staff involved.)
01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 □ Correctional officer/supervision staff
03 □ Clerical including secretaries, clerks, receptionists, and other administrative support
04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 □ Education staff, including instructors, teachers, librarians, and education assistants
07 □ Other program staff
08 □ Other staff – Specify

37. What sanction was imposed on the staff? (Mark (x) all that apply for all staff involved.)
01 □ Reprimanded or disciplined
02 □ Demoted or diminished responsibilities
03 □ Transferred to another facility
04 □ Arrested
05 □ Referred for prosecution
06 □ Discharged
07 □ Staff resigned (prior to completion of investigation)
08 □ Staff resigned (after investigation was completed)
09 □ Other – Specify