4. What time did the incident occur? (Mark [ ] all that apply.)

01 [ ] Morning (6 a.m. to noon)
02 [ ] Afternoon (noon to 6 p.m.)
03 [ ] Evening (6 p.m. to midnight)
04 [ ] Overnight (midnight to 6 a.m.)

5. How many victims were involved in the incident?

Number of victims ____________________

→ If more than two victims were reported, report these characteristics in Notes.

6. Victim #1: What was the victim’s gender?

01 [ ] Male
02 [ ] Female

7. Victim #1: What was the victim’s age at the time of the incident?

01 [ ] Under age 18
02 [ ] 18 – 24
03 [ ] 25 – 29
04 [ ] 30 – 34
05 [ ] 35 – 39
06 [ ] 40 – 44
07 [ ] 45 or older

8. Victim #1: What was the victim’s race/ethnic origin? (Mark [ ] all that apply.)

01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify ____________________

9. Victim #2: What was the victim’s gender?

01 [ ] Male
02 [ ] Female

10. Victim #2: What was the victim’s age at the time of the incident?

01 [ ] Under age 18
02 [ ] 18 – 24
03 [ ] 25 – 29
04 [ ] 30 – 34
05 [ ] 35 – 39
06 [ ] 40 – 44
07 [ ] 45 or older

11. Victim #2: What was the victim’s race/ethnic origin? (Mark [ ] all that apply.)

01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify ____________________

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 15 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate and any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Did the victim(s) sustain any physical injury during the incident?
   01 ☐ Yes → a. What injuries occurred?
   (Mark [X] all that apply for all victims.)
   01 ☐ Knife or stab wounds
   02 ☐ Broken bones
   03 ☐ Anal or vaginal tearing
   04 ☐ Teeth chipped or knocked out
   05 ☐ Internal injuries
   06 ☐ Knocked unconscious
   07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
   08 ☐ Other – Specify ✑
   b. Did the victim(s) receive medical treatment for these injuries?
   01 ☐ Yes
   02 ☐ No (No injury sustained)

13. Who reported the incident?
   (Mark [X] all that apply.)
   01 ☐ Victim
   02 ☐ Another inmate (non-victim)
   03 ☐ Family of victim
   04 ☐ Correctional officer/front line staff
   05 ☐ Administrative staff
   06 ☐ Medical/healthcare staff
   07 ☐ Instructor/teacher
   08 ☐ Counselor
   09 ☐ Chaplain or other religious official
   10 ☐ Other – Specify ✑

14. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 ☐ Given a medical examination
   02 ☐ Administered a rape kit
   03 ☐ Tested for HIV/AIDS
   04 ☐ Tested for other sexually transmitted diseases
   05 ☐ Provided with counseling or mental health treatment
   06 ☐ None of the above

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 ☐ Placed in administrative segregation/protective custody
   02 ☐ Placed in a medical unit, ward, or hospital
   03 ☐ Confined to own cell/room
   04 ☐ Given a higher custody level with the facility
   05 ☐ Transferred to another facility
   06 ☐ Other – Specify ✑

16. What type of sexual violence was involved in the incident? (See the SSV Summary Form for definitions.)
   01 ☐ Inmate-on-inmate nonconsensual sexual act → Complete Section A
   02 ☐ Inmate-on-inmate abusive sexual contact → Complete Section A
   03 ☐ Staff sexual misconduct → Complete Section B
   04 ☐ Staff sexual harassment → Complete Section B

Section A – INMATE-ON-INMATE SEXUAL VIOLENCE

17. How many perpetrators were involved in the incident?
   Number of perpetrators . . .
   → If more than two perpetrators were involved, report these characteristics in Notes.

18. Perpetrator #1: What was the perpetrator’s gender?
   01 ☐ Male
   02 ☐ Female

19. Perpetrator #1: What was the perpetrator’s age at the time of the incident?
   01 ☐ Under age 18
   02 ☐ 18–24
   03 ☐ 25–29
   04 ☐ 30–34
   05 ☐ 35–39
   06 ☐ 40–44
   07 ☐ 45 or older

20. Perpetrator #1: What was the perpetrator’s race/ethnic origin? (Mark [X] all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☐ Other racial category in your information system – Specify ✑
21. Perpetrator #2: What was the perpetrator’s gender?
   01 □ Male
   02 □ Female

22. Perpetrator #2: What was the perpetrator’s age at the time of the incident?
   01 □ Under age 18  05 □ 35–39
   02 □ 18–24  06 □ 40–44
   03 □ 25–29  07 □ 45 or older
   04 □ 30–34

23. Perpetrator #2: What was the perpetrator’s race/ethnic origin? (Mark (□) all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system – Specify

24. What type of pressure or physical force was used by the perpetrator on the victim? (Mark (□) all that apply for all perpetrators.)
   01 □ Persuasion or talked into sexual activity
   02 □ Bribery or black mail
   03 □ Gave victim drugs or alcohol
   04 □ Offered protection from other inmates
   05 □ Threatened with physical harm
   06 □ Physically held victim down or restrained in some way
   07 □ Physically harmed or injured
   08 □ Threatened with a weapon
   09 □ Other – Specify
   10 □ None

25. What sanction was imposed on the perpetrator? (Mark (□) all that apply for all perpetrators.)
   01 □ Placed in solitary confinement or disciplinary segregation
   02 □ Confined to own cell/room
   03 □ Placed in higher custody level within same facility
   04 □ Transferred to another facility
   05 □ Loss of “good/gain” time or increase in “bad” time
   06 □ Given extra work
   07 □ Loss of privileges
   08 □ Arrested
   09 □ Referred for prosecution
   10 □ Given new sentence
   11 □ Other – Specify

Section B – STAFF SEXUAL MISCONDUCT AND HARASSMENT

26. What was the nature of the incident? (Mark (□) all that apply.)
   01 □ Romantic relationship between inmate and staff
   02 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
   03 □ Unwanted touching for sexual gratification
   04 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
   05 □ Pressure or abuse of power resulting in a nonconsensual sexual act
   06 □ Physical force resulting in a nonconsensual sexual act
   07 □ Other – Specify

27. How many staff were involved in the incident?
   Number of staff.

   ➔ If more than two staff were involved, report these characteristics in Notes.

28. Staff #1: What was the gender of the staff?
   01 □ Male
   02 □ Female

29. Staff #1: What was the age of the staff at the time of the incident?
   01 □ 24 or younger  05 □ 40 – 44
   02 □ 25 – 29  06 □ 45 – 54
   03 □ 30 – 34  07 □ 55 or older
   04 □ 35 – 39
30. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark (x) all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify

31. Staff #2: What was the gender of the staff?
01 ☐ Male
02 ☐ Female

32. Staff #2: What was the age of the staff at the time of the incident?
01 ☐ 24 or younger
02 ☐ 25 – 29
03 ☐ 30 – 34
04 ☐ 35 – 39
05 ☐ 40 – 44
06 ☐ 45 – 54
07 ☐ 55 or older

33. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark (x) all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify

34. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark (x) all that apply for all staff involved.)
01 ☐ Full or part-time paid employee
02 ☐ Contract employee or vendor
03 ☐ Volunteer or intern
04 ☐ Other – Specify

35. What was the primary position description of the staff involved in the incident? (Mark (x) all that apply for all staff involved.)
01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 ☐ Correctional officer/supervision staff
03 ☐ Clerical including secretaries, clerks, receptionists, and other administrative support
04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
07 ☐ Other program staff
08 ☐ Other staff – Specify

36. At the time of the incident, how long had the staff worked at the facility? (Mark (x) all that apply for all staff involved.)
01 ☐ Less than 6 months
02 ☐ 6 months to 1 year
03 ☐ 1 to 5 years
04 ☐ 5 to 10 years
05 ☐ More than 10 years

37. What sanction was imposed on the staff? (Mark (x) all that apply for all staff involved.)
01 ☐ Reprimanded or disciplined
02 ☐ Demoted or diminished responsibilities
03 ☐ Transferred to another facility
04 ☐ Arrested
05 ☐ Referred for prosecution
06 ☐ Discharged
07 ☐ Staff resigned (prior to completion of investigation)
08 ☐ Staff resigned (after investigation was completed)
09 ☐ Other – Specify

NOTES