1. On what date did the incident occur? (If more than one date, report the most recent.)

<table>
<thead>
<tr>
<th>Month</th>
<th>Day</th>
<th>Year</th>
</tr>
</thead>
</table>

2. In what facility did the incident occur?

Name

City/Place

3. Where did the incident occur? (Mark (X) all that apply.)

01 In the victim’s cell/room (if the victim and perpetrator share a cell/room, count as the victim’s cell)
02 In the perpetrator’s cell/room
03 In a dormitory or other multiple housing unit
04 In a common area within a cell block (shower, dayroom)
05 In a temporary holding cell within the facility
06 In a program service area (commissary, kitchen, storage, laundry, cafeteria, workshop, or hallway)
07 Outside the facility
08 While in transit
09 Other – Specify

4. What time did the incident occur? (Mark (X) all that apply.)

01 Morning (6 a.m. to noon)
02 Afternoon (noon to 6 p.m.)
03 Evening (6 p.m. to midnight)
04 Overnight (midnight to 6 a.m.)

5. How many victims were involved in the incident?

Number of victims

If no victims (only perpetrators) were involved, report “0” above, and go to item 13.

If more than two victims were reported, report these characteristics in Notes.

6. Victim #1: What was the victim’s gender?

01 Male
02 Female

7. Victim #1: What was the victim’s age at the time of the incident?

01 Under age 18
02 18 – 24
03 25 – 29
04 30 – 34
05 35 – 39
06 40 – 44
07 45 or older

8. Victim #1: What was the victim’s race/ethnic origin? (Mark (X) all that apply.)

01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system – Specify

9. Victim #2: What was the victim’s gender?

01 Male
02 Female

10. Victim #2: What was the victim’s age at the time of the incident?

01 Under age 18
02 18 – 24
03 25 – 29
04 30 – 34
05 35 – 39
06 40 – 44
07 45 or older

11. Victim #2: What was the victim’s race/ethnic origin? (Mark (X) all that apply.)

01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system – Specify

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 15 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Did the victim(s) sustain any physical injury during the incident?
   - [ ] Yes
   - [ ] No

   a. What injuries occurred?
      - [ ] Knife or stab wounds
      - [ ] Broken bones
      - [ ] Anal or vaginal tearing
      - [ ] Chipped or knocked out teeth
      - [ ] Internal injuries
      - [ ] Knocked unconscious
      - [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
      - [ ] Other – Specify

   b. Did the victim(s) receive medical treatment for these injuries?
      - [ ] Yes
      - [ ] No

13. Who reported the incident?
   (Mark (X) all that apply.)
   - [ ] Victim
   - [ ] Another inmate (non-victim)
   - [ ] Family of victim
   - [ ] Correctional officer/front line staff
   - [ ] Administrative staff
   - [ ] Medical/healthcare staff
   - [ ] Instructor/teacher
   - [ ] Counselor
   - [ ] Chaplain or other religious official
   - [ ] Other – Specify

14. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
   - [ ] Given a medical examination
   - [ ] Administered a rape kit
   - [ ] Tested for HIV/AIDS
   - [ ] Tested for other sexually transmitted diseases
   - [ ] Provided with counseling or mental health treatment
   - [ ] None of the above
   - [ ] No victim (voluntary sexual contact)

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
   - [ ] Placed in administrative segregation/protective custody
   - [ ] Placed in a medical unit, ward, or hospital
   - [ ] Confined to own cell/room
   - [ ] Given a higher custody level within the facility
   - [ ] Transferred to another facility
   - [ ] Other – Specify

   - [ ] None of the above
   - [ ] No victim (voluntary sexual contact)

16. What type of sexual violence was involved in the incident? (See definitions below)
   - [ ] Inmate-on-inmate nonconsensual sexual act → Complete Section A
   - [ ] Inmate-on-inmate abusive sexual contact → Complete Section A
   - [ ] Voluntary sexual contact between adults → Complete Section A
   - [ ] Staff sexual misconduct → Complete Section B
   - [ ] Staff sexual harassment → Complete Section B

Definitions of Sexual Violence

**Nonconsensual Sexual Acts:** Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Penetration of the anal or genital opening of another person by the hand, finger, or other object.

**Abusive Sexual Contact:** (less severe) Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

**Staff Sexual Misconduct:** Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

EXCLUDES

Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

**Staff Sexual Harassment:** Repeated profane or obscene language or gestures.

OR

Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

17. How many perpetrators were involved in the incident?
   Number of perpetrators . . .

   → If more than two perpetrators were involved, report these characteristics in Notes.
18. Perpetrator #1: What was the perpetrator’s gender?  
- 01 Male  
- 02 Female  

19. Perpetrator #1: What was the perpetrator’s age at the time of the incident?  
- 01 Under age 18  
- 02 18–24  
- 03 25–29  
- 04 30–34  
- 05 35–39  
- 06 40–44  
- 07 45 or older  

20. Perpetrator #1: What was the perpetrator’s race/ethnic origin?  
- 01 White (not of Hispanic origin)  
- 02 Black (not of Hispanic origin)  
- 03 Hispanic or Latino  
- 04 American Indian/Alaska Native (not of Hispanic origin)  
- 05 Asian (not of Hispanic origin)  
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)  
- 07 Other racial category in your information system – Specify  

21. Perpetrator #2: What was the perpetrator’s gender?  
- 01 Male  
- 02 Female  

22. Perpetrator #2: What was the perpetrator’s age at the time of the incident?  
- 01 Under age 18  
- 02 18–24  
- 03 25–29  
- 04 30–34  
- 05 35–39  
- 06 40–44  
- 07 45 or older  

23. Perpetrator #2: What was the perpetrator’s race/ethnic origin?  
- 01 White (not of Hispanic origin)  
- 02 Black (not of Hispanic origin)  
- 03 Hispanic or Latino  
- 04 American Indian/Alaska Native (not of Hispanic origin)  
- 05 Asian (not of Hispanic origin)  
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)  
- 07 Other racial category in your information system – Specify  

24. What was the nature of the incident?  
- 01 Voluntary sexual contact between adults  
- 02 Unwanted touching for sexual gratification  
- 03 Pressure/coercion (without force) resulting in a nonconsensual sexual act  
- 04 Physical force (or the threat of force) resulting in a nonconsensual sexual act  
- 05 Other – Specify  

25. What type of pressure or physical force was used by the perpetrator on the victim?  
- 01 Persuasion or talked into sexual activity  
- 02 Bribery or blackmail  
- 03 Gave victim drugs or alcohol  
- 04 Offered protection from other inmates  
- 05 Threatened with physical harm  
- 06 Physically held victim down or restrained in some way  
- 07 Physically harmed or injured  
- 08 Threatened with a weapon  
- 09 Other – Specify  

26. What sanction was imposed on the perpetrator(s)?  
- 01 Placed in solitary confinement or disciplinary segregation  
- 02 Confined to own cell/room  
- 03 Placed in higher custody level within same facility  
- 04 Transferred to another facility  
- 05 Loss of "good/gain" time or increase in "bad" time  
- 06 Given extra work  
- 07 Loss of privileges  
- 08 Arrested  
- 09 Referred for prosecution  
- 10 Given new sentence  
- 11 Other – Specify  

27. What was the nature of the incident?  
- 01 Physical force resulting in a nonconsensual sexual act  
- 02 Pressure or abuse of power resulting in a nonconsensual sexual act  
- 03 Indecent exposure, invasion of privacy, or voyeurism for sexual gratification  
- 04 Unwanted touching for sexual gratification  
- 05 Sexual harassment or repeated verbal statements of a sexual nature by staff  
- 06 Sexual relationship between inmate and staff that appeared to be willing  
- 07 Other – Specify  
- 08 Level of coercion unknown  

28. How many staff were involved in the incident?  

⇒ If more than two staff were involved, report these characteristics in Notes.
29. Staff #1: What was the gender of the staff?
01 Male 02 Female

30. Staff #1: What was the age of the staff at the time of the incident?
01 24 or younger 05 40 – 44
02 25 – 29 06 45 – 54
03 30 – 34 07 55 or older
04 35 – 39

31. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [x] all that apply.)
01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system – Specify [x]

32. Staff #2: What was the gender of the staff?
01 Male 02 Female

33. Staff #2: What was the age of the staff at the time of the incident?
01 24 or younger 05 40 – 44
02 25 – 29 06 45 – 54
03 30 – 34 07 55 or older
04 35 – 39

34. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [x] all that apply.)
01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system – Specify [x]

35. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [x] all that apply for all staff involved.)
01 Full or part-time paid employee
02 Contract employee or vendor
03 Volunteer or intern
04 Other – Specify [x]

36. What was the primary position description of the staff involved in the incident? (Mark [x] all that apply for all staff involved.)
01 Administrator, including wardens, superintendents, assistants and others in administrative positions
02 Correctional officer/supervision staff
03 Clerical including secretaries, clerks, receptionists, and other administrative support
04 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 Education staff, including instructors, teachers, librarians, and education assistants
07 Other program staff
08 Other staff – Specify [x]

37. What sanction was imposed on the staff? (Mark [x] all that apply for all staff involved.)
01 Reprimanded or disciplined
02 Demoted or diminished responsibilities
03 Transferred to another facility
04 Arrested
05 Referred for prosecution
06 Discharged
07 Staff resigned (prior to completion of investigation)
08 Staff resigned (after investigation was completed)
09 Other – Specify [x]