1. On what date did the incident occur?  
(If more than one date, report the most recent.)

Month Day Year

2. In what facility did the incident occur?

Name

City/Place

3. Where did the incident occur? (Mark [X] all that apply.)

01 [ ] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
02 [ ] In the perpetrator's cell or room
03 [ ] In a dormitory or other multiple housing unit
04 [ ] In a common area (e.g., shower, dayroom, bathroom)
05 [ ] In a temporary holding cell or admissions area within the facility
06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
07 [ ] In an instructional area (e.g., classroom, school, library, conference room)
08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
09 [ ] In a medical area (e.g., Infirmary, health clinic)
10 [ ] In a staff area (office, break room, counselor's office)
11 [ ] Offsite or while in transit
12 [ ] Other – Specify
13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?

01 [ ] Yes
02 [ ] No
03 [ ] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)

01 [ ] Morning (6 a.m. to noon)
02 [ ] Afternoon (noon to 6 p.m.)
03 [ ] Evening (6 p.m. to midnight)
04 [ ] Overnight (midnight to 6 a.m.)
05 [ ] Unknown

6. How many victims were involved in the incident?

Number of victims . . .

→ If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)

01 [ ] Male
02 [ ] Female
03 [ ] Transgender
04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?

01 [ ] Under age 13
02 [ ] 13–15
03 [ ] 16–17
04 [ ] 18–19
05 [ ] 20–24
06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)

01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)

01 [ ] Male
02 [ ] Female
03 [ ] Transgender
04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?

01 [ ] Under age 13
02 [ ] 13–15
03 [ ] 16–17
04 [ ] 18–19
05 [ ] 20–24
06 [ ] 25 or older

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? 
(Mark (X) all that apply.)
- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?
- No (No injury sustained)
- Yes ➔ a. What injuries occurred? 
(Mark (X) all that apply for all victims.)
- Knife or stab wounds
- Broken bones
- Anal or vaginal tearing
- Chipped or knocked out teeth
- Internal injuries
- Knocked unconscious
- Bruises, black eye, sprains, cuts, scratches, swelling, welts
- Other – Specify

➔ b. Did the victim(s) receive medical treatment for these injuries?
- Yes
- No

14. Who reported the incident? 
(Mark (X) all that apply.)
- Victim
- Another youth (non-victim)
- Victim’s family or friend
- Correctional officer or front line staff
- Administrative staff
- Medical, healthcare, or mental health staff
- Instructor, teacher, or counselor
- Other staff (e.g., kitchen worker, maintenance staff)
- Chaplain or other religious official
- Perpetrator
- Perpetrator’s family or friend
- Grievance coordinator, grievance process, or ombudsperson
- Attorney or legal guardian (e.g., other than family member)
- Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
- Other – Specify

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
- Given a medical examination
- Administered a rape kit
- Tested for HIV/AIDS
- Tested for other sexually transmitted diseases
- Provided with counseling or mental health treatment
- Offered but declined testing or treatment
- Already released/discharged
- None of the above

16. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
- Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
- Placed in a medical unit, ward, or hospital
- Confined to own cell or room
- Given a higher custody level/different unit within the facility
- Transferred to another facility
- Transferred to another housing unit or dorm, or given a single room or cell
- Separated from perpetrator
- Issued disciplinary report or loss of privileges
- Placed in camera room, under closer surveillance, or increased supervision
- None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
- Youth–on–youth nonconsensual sexual act ➔ Complete Section A, below
- Youth–on–youth abusive contact ➔ Complete Section A, below
- Youth–on–youth sexual harassment ➔ Complete Section A, below
- Staff sexual misconduct ➔ Complete Section B on pages 4–5
- Staff sexual harassment ➔ Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

➔ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?
Number of youth perpetrators . . .

➔ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.
19. **Perpetrator #1: What was the youth perpetrator's sex or gender identity?** *(See definitions on page 5.)*

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Male</td>
</tr>
<tr>
<td>02</td>
<td>Female</td>
</tr>
<tr>
<td>03</td>
<td>Transgender</td>
</tr>
<tr>
<td>04</td>
<td>Intersex</td>
</tr>
</tbody>
</table>

20. **Perpetrator #1: What was the youth perpetrator's age at the time of the incident?**

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Under age 13</td>
</tr>
<tr>
<td>02</td>
<td>13–15</td>
</tr>
<tr>
<td>03</td>
<td>16–17</td>
</tr>
<tr>
<td>04</td>
<td>18–19</td>
</tr>
<tr>
<td>05</td>
<td>20–24</td>
</tr>
<tr>
<td>06</td>
<td>25 or older</td>
</tr>
</tbody>
</table>

21. **Perpetrator #1: What was the youth perpetrator's race/ethnic origin?** *(Mark (X) all that apply.)*

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>White (not of Hispanic origin)</td>
</tr>
<tr>
<td>02</td>
<td>Black (not of Hispanic origin)</td>
</tr>
<tr>
<td>03</td>
<td>Hispanic or Latino</td>
</tr>
<tr>
<td>04</td>
<td>American Indian/Alaska Native (not of Hispanic origin)</td>
</tr>
<tr>
<td>05</td>
<td>Asian (not of Hispanic origin)</td>
</tr>
<tr>
<td>06</td>
<td>Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)</td>
</tr>
<tr>
<td>07</td>
<td>Other racial category in your information system – Specify</td>
</tr>
</tbody>
</table>

22. **Perpetrator #2: What was the youth perpetrator's sex or gender identity?** *(See definitions on page 5.)*

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Male</td>
</tr>
<tr>
<td>02</td>
<td>Female</td>
</tr>
<tr>
<td>03</td>
<td>Transgender</td>
</tr>
<tr>
<td>04</td>
<td>Intersex</td>
</tr>
</tbody>
</table>

23. **Perpetrator #2: What was the youth perpetrator's age at the time of the incident?**

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Under age 13</td>
</tr>
<tr>
<td>02</td>
<td>13–15</td>
</tr>
<tr>
<td>03</td>
<td>16–17</td>
</tr>
<tr>
<td>04</td>
<td>18–19</td>
</tr>
<tr>
<td>05</td>
<td>20–24</td>
</tr>
<tr>
<td>06</td>
<td>25 or older</td>
</tr>
</tbody>
</table>

24. **Perpetrator #2: What was the youth perpetrator's race/ethnic origin?** *(Mark (X) all that apply.)*

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>White (not of Hispanic origin)</td>
</tr>
<tr>
<td>02</td>
<td>Black (not of Hispanic origin)</td>
</tr>
<tr>
<td>03</td>
<td>Hispanic or Latino</td>
</tr>
<tr>
<td>04</td>
<td>American Indian/Alaska Native (not of Hispanic origin)</td>
</tr>
<tr>
<td>05</td>
<td>Asian (not of Hispanic origin)</td>
</tr>
<tr>
<td>06</td>
<td>Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)</td>
</tr>
<tr>
<td>07</td>
<td>Other racial category in your information system – Specify</td>
</tr>
</tbody>
</table>

25. **What was the nature of the incident?** *(Mark (X) all that apply.)*

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Sexual harassment</td>
</tr>
<tr>
<td>02</td>
<td>Indecent exposure, masturbation, or voyeurism</td>
</tr>
<tr>
<td>03</td>
<td>Horseplay</td>
</tr>
<tr>
<td>04</td>
<td>Repeated and unwelcome sexual advances or requests for sexual favors</td>
</tr>
<tr>
<td>05</td>
<td>Unwanted touching for sexual gratification or abusive sexual contact</td>
</tr>
<tr>
<td>06</td>
<td>Pressure or coercion (without force) resulting in a nonconsensual sexual act</td>
</tr>
<tr>
<td>07</td>
<td>Physical force (or the threat of force) resulting in a nonconsensual sexual act</td>
</tr>
<tr>
<td>08</td>
<td>Other – Specify</td>
</tr>
</tbody>
</table>

26. **What type of pressure or physical force was used by the youth perpetrator on the victim?** *(Mark (X) all that apply for all perpetrators.)*

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Sexual harassment, sexual innuendo, or verbal comments</td>
</tr>
<tr>
<td>02</td>
<td>Persuasion or talked into sexual activity</td>
</tr>
<tr>
<td>03</td>
<td>Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep</td>
</tr>
<tr>
<td>04</td>
<td>Bribery or blackmail</td>
</tr>
<tr>
<td>05</td>
<td>Gave victim drugs or alcohol</td>
</tr>
<tr>
<td>06</td>
<td>Offered protection from other youth</td>
</tr>
<tr>
<td>07</td>
<td>Threatened with physical harm</td>
</tr>
<tr>
<td>08</td>
<td>Physically held victim down or restrained in some way</td>
</tr>
<tr>
<td>09</td>
<td>Physically harmed or injured</td>
</tr>
<tr>
<td>10</td>
<td>Threatened with a weapon</td>
</tr>
<tr>
<td>11</td>
<td>Other – Specify</td>
</tr>
<tr>
<td>12</td>
<td>None</td>
</tr>
</tbody>
</table>

27. **What sanction was imposed on the perpetrator(s)?** *(Mark (X) all that apply for all perpetrators.)*

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Placed in solitary confinement or disciplinary segregation</td>
</tr>
<tr>
<td>02</td>
<td>Confined to own cell or room</td>
</tr>
<tr>
<td>03</td>
<td>Placed in higher custody level, restricted unit or program, within the same facility</td>
</tr>
<tr>
<td>04</td>
<td>Transferred to other unit/cell or separated from victim</td>
</tr>
<tr>
<td>05</td>
<td>Transferred to another facility</td>
</tr>
<tr>
<td>06</td>
<td>Loss of &quot;good/gain&quot; time or increase in &quot;bad&quot; time/delayed release</td>
</tr>
<tr>
<td>07</td>
<td>Given extra work</td>
</tr>
<tr>
<td>08</td>
<td>Loss of privileges, disciplinary report or conduct violation, or other reprimand</td>
</tr>
<tr>
<td>09</td>
<td>Sent to counseling or treatment team</td>
</tr>
<tr>
<td>10</td>
<td>Arrested or referred to law enforcement agency</td>
</tr>
<tr>
<td>11</td>
<td>Referred for prosecution or indicted</td>
</tr>
<tr>
<td>12</td>
<td>Convicted, given new sentence, or fined</td>
</tr>
<tr>
<td>13</td>
<td>Other – Specify</td>
</tr>
</tbody>
</table>
### Section B – STAFF-ON-YOUTH SEXUAL ABUSE

#### If the perpetrator was a youth, go to Section A on pages 2–3.

**28. What was the nature of the incident?**
(Mark (x) all that apply.)

- Physical force resulting in a nonconsensual sexual act
- Pressure or abuse of power resulting in a nonconsensual sexual act
- Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- Unwanted touching for sexual gratification
- Sexual harassment or repeated verbal statements of a sexual nature by staff
- Wrote letters, showed pictures, or offered gifts or special privileges to youth
- Sexual relationship between youth and staff that appeared to be willing
- Other – Specify

**29. How many staff were involved in the incident?**

Number of staff . . .

→ If more than two staff were involved, report their characteristics in Notes on page 5.

#### 30. Staff #1: What was the gender of the staff?

- Male
- Female

#### 31. Staff #1: What was the age of the staff at the time of the incident?

- 24 or younger
- 25–29
- 30–34
- 35–39
- 40–44
- 45–54
- 55 or older

#### 32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark (x) all that apply.)

- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify

#### 33. Staff #2: What was the gender of the staff?

- Male
- Female

#### 34. Staff #2: What was the age of the staff at the time of the incident?

- 24 or younger
- 25–29
- 30–34
- 35–39
- 40–44
- 45–54
- 55 or older

#### 35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark (x) all that apply.)

- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify

#### 36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark (x) all that apply for all staff involved.)

- Full or part time paid employee
- Contract employee or vendor
- Volunteer or intern
- Other – Specify

#### 37. What was the primary position description of the staff involved in the incident? (Mark (x) all that apply for all staff involved.)

- Administrator, including wardens, superintendents, assistants and others in administrative positions
- Correctional officer or supervisory staff
- Clerical staff including secretaries, clerks, receptionists, and other administrative support
- Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- Education staff, including instructors, teachers, librarians, and education assistants
- Other program staff
- Volunteers or Interns
- Other staff – Specify
38. What sanction was imposed on the staff?
(Mark (X) all that apply for all staff involved.)

01 [ ] Sent to training or counseling
02 [ ] Reprimanded or disciplined
03 [ ] Demoted, diminished responsibilities, or suspended temporarily
04 [ ] Transferred to another facility or unit
05 [ ] Arrested or referred to law enforcement agency
06 [ ] Referred for prosecution or indicted
07 [ ] Convicted, plead guilty, sentenced, or fined
08 [ ] Discharged, terminated, or contract not renewed
09 [ ] Staff resigned (prior to completion of investigation)
10 [ ] Staff resigned (after investigation was completed)
11 [ ] Other – Specify
12 [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility?
(Mark (X) all that apply for all staff involved.)

01 [ ] Less than 6 months
02 [ ] 6 months to 1 year
03 [ ] 1 to 5 years
04 [ ] 5 to 10 years
05 [ ] More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.