

# Summary of 2019 NARIP Awarded Activities

Commonly used acronyms:

**AFIS** - Automated Fingerprint Identification System  
**CCH** - Computerized Criminal History  
**CHRI** – Criminal History Record Information  
**III** - Interstate Identification Index  
**NCIC** - National Crime Information Center  
**NFF** - National Fingerprint File  
**NGI** – Next Generation Identification  
**NIBRS** - National Incident-Based Reporting System  
**NIEM** - National Information Exchange Model  
**NIST** - National Institute of Standards and Technology  
**NICS** - National Instant Criminal Background Check System  
**NSOR** - National Sex Offender Registry  
**XML** - Extensible Markup Language

The following provides a description of activities under NARIP grants for each of the States receiving funds in alphabetical order.

**Alaska** (\$475,273) The Alaska Department of Public Safety will use funds to support four technicians to continue the misdemeanor domestic violence project and supplies for two virtual plus servers. Additionally, the Alaska Department of Public Safety will sub-award funds to the Alaska Court System (ACS) to support a Programmer Analyst (PA), two Range 14A Technicians, training, digital recording devices, interface cards, and advanced capture and reporting licenses and maintenance. The PA will work on critical tasks to support electronic forms and criminal data collection in the courtroom application, maintain existing forms, and design, develop, program, test, monitor and implement new electronic forms for collection of complete, accurate criminal history data at trial and appellate court levels, including auditing and validating criminal and other relevant disposition data transmitted in the forms. The Technicians will retrieve and digitize mental health commitments on file prior to 2011.

**Arizona** (\$1,144,051) The Arizona Criminal Justice Commission (ACJC), will use funds to conduct three projects: 1) Continuation of the NICS Task Force and Project Management; 2) Implement Orders of Protection Interface; and 3) Update AZ Criminal History Records Project. 1) NICS Task Force and Project Management: ACJC will continue the multi-agency planning and oversight with the NICS Record Improvement Task Force. The ACJC will contract a project management and system analyst to provide a strategic framework, through targeted recommendations, for executive and legislative actions to improve the State's position in preventing prohibited possessors from obtaining firearms. This is a continuation project from previous NARIP awards. The next steps for the Task Force are to continue efforts to address procedural, technological, privacy areas, and policy issues surrounding submission, access, and the reporting of NICS information. 2) Implement Orders of Protection Interface: ACJC will contract services to develop an interface for law enforcement agencies to implement a NIEM conformant data exchange with the Arizona Orders of Protection/Injunction Against Harassment (OP/IAH) system through their Computer Aided Dispatch (CAD) or Records Management System (RMS).

Currently, there is an average of 10 days to complete an OP/IAH entry into NCIC. The implementation of an interface will automate the reporting of OP/IAH information which will improve the processing of information to NCIC and, where applicable, to NICS. 3) Update AZ Criminal History Records: The AZDPS is the Central State Repository (CSR) for the state. The CSR will create and process gap-fill arrests for approximately 5,000 AZ criminal history records. The CSR will offer overtime to qualified staff members to research records, generate disposition reports for the AZ courts, create gap-filler arrests, and update the criminal history record. CSR staff will research the pending Superior Court cases for which the Clerks of the Court have never received a disposition report and any additional cases supplied by any AZ court or identified by CSR staff. Further steps include identifying or creating an associated arrest and provide the courts with a final disposition report to complete and forward back to CSR for entry into the AZ CCH file.

**Delaware** (\$188,700) The Delaware Health and Social Services submitted to DELJIS (Delaware Criminal Justice Information System) the records that needed to be added to the G4 file. This file contains the records that have been validated by Health and Social Services as true commitments. In 2015, the Delaware Department of Safety and Homeland Security received funds to match criminal history records in the state's criminal history repository against the G4 (mental health) file to check for accuracy and completeness. Funds from the FY 2016 NCHIP program were used to match the records by names in the G4 file against records in the court's database. In FY 2017, the agency sought funds to search the Superior Court's database to validate records of person's having been involuntary committed and to maintain a copy of the record in DELJIS. Funds from the FY 2018 NARIP program tasked research technicians with flagging both the base name and alias names of individuals whose record is being added to the G4 file. Once the records are checked, they are electronically sent to DELJIS, then to the NICS Indices. This year, funds are being requested to continue the efforts of previous awards. Research technicians will capture name information and match it to the State Bureau of Identification number to update an individual's record which will automatically trigger a response to the NICS Indices that an update/change has occurred to the record. Delaware is also requesting funds to add new records to the NICS database of individuals that may have been missed in the III due to lack of fingerprints. In addition, Delaware will conduct monthly audits to compare information contained in the G4 file with records in the NICS Indices. Currently, the criminal record will have the disposition, but the record will not be in NICS. DELJIS will perform checks to determine if the court of record's disposition is "mentally deficient" and should be maintained in the state and national databases before forwarding them to NICS.

**Florida** (\$1,407,932) The Florida Department of Law Enforcement (FDLE) will use funds to: 1) expand the current Electronic Warrants and Protection Orders Data Exchange System to integrate electronic risk project orders and search and seizure warrants; 2) hire OPS staff to identify, retrieve, and enter criminal processes starting with an arrest into the criminal history database and make corrections to the arrest records on file; 3) enhance staff in the firearms eligibility division to assist with increases in volume of firearm-related background checks; and 4) continue the partnership with Broward County clerk of court to research historical disposition records.

**Hawaii** (\$254,375) The Hawaii Criminal Justice Data Center (HCJDC) will use funds to establish and convene HI's NICS Task Force and develop the state's NICS Record Improvement Plan. While developing the NICS Record Improvement Plan, Hawaii will work to ensure that all convicted offenders are identified through fingerprints so their convictions will be available via the statewide criminal history repository as well as the NCIC and the III by making enhancements to CJIS-Hawaii with the replacement

of hardware and software. The HCJDC will work with the Department of the Attorney General's Investigations Division to locate and book offenders convicted of criminal offenses in penal summons and/or prosecution via complaint type of cases. Due to these offenders never being booked on their charges, the conviction information is not part of their criminal history; therefore, background checks conducted for firearms or other purposes would not be complete. HCJDC will procure the services of a third party vendor to scan and convert the fingerprint cards into an electronic format in order to be submitted to the FBI and assigned a universal control number.

**Iowa** (\$500,000) The Iowa Department of Public Safety (DPS) will use funds to assist in the replacement of the state's current criminal history system. By replacing the aging system, the DPS will be able to maintain past improvements in criminal history record quality and availability while implementing new digital workflows used to apply manual disposition information more quickly and eliminate considerable data entry that is subject to human error. By reducing the time lapse in applying these manual dispositions, Iowa's records will be more timely, accurate and available to the NICS program resulting in improved screening of potential firearms purchasers. The DPS will also be able to implement a process of continual data quality review through a dashboard approach. Upon implementation, the DPS will monitor numerous data points in the criminal history record system to identify any anomalies or other issues that could affect record quality through changes in data submission patterns from Iowa law enforcement agencies, prosecutors or the courts. The DPS is focused on improving the overall quality of Iowa's criminal history records with an emphasis on improved disposition application to records. The project, funded in part with state and other federal funding, will further improve the quality of records through the implementation of a data quality dashboard that will use analytics to allow the DPS to monitor criminal history data submissions and address any identified shortcomings.

**Louisiana** (\$373,884) The Louisiana Commission on Law Enforcement (LCLE) will use funds to work in partnership with the Louisiana Supreme Court's Case Management Information System (CMIS) to coordinate the development and the integration of the state's various criminal justice information systems. Funds will be used to continue the work with an existing vendor, ImageSoft, to electronically capture and transmit mental health commitment information to CMIS and make the information available to Louisiana's Computerized Criminal History (LACCH) system for sharing to the III and the NICS Indices. CMIS will also work with the vendor, IDEMIA, to procure and deploy six livescan machines in courtrooms to capture fingerprints for defendants who are convicted, but not provided a summons or arrested at the time of the incident. In addition, CMIS will develop a CCH data quality dashboard to closely monitor the quality and completeness of arrest and disposition reporting records submitted to the state's repository. Funds will also be used to pay for management and program support personnel, who will perform coordination and monitoring activities, including programmatic and fiscal oversight and for in-state travel to conduct site visits.

**Maryland** (\$550,000) The MD Department of Public Safety and Correctional Services will use funds to: 1) upgrade the current version of the OpenFox Message Switch which is the core of the system that enables information sharing of criminal history information among local, state and federal agencies; and 2) continue efforts to research and update missing dispositions and ensure they are linked to the original RAP sheet, an estimated 37,500 records will be updated as a result of this work.

**Missouri** (\$1,283,412) The Missouri State Highway Patrol (MSHP) will use funds for the following projects in collaboration with their partner agencies: 1) Development and Modifications of the Show-Me

Courts System: The Office of the State Courts Administrator (OSCA) will continue to develop the new Show-Me Courts case management system. Two IT Programmers will work with the Show-Me Courts project team to complete the programming for the system that is necessary for associate and circuit level criminal case processing. The development includes: design and development of reporting of circuit felony and misdemeanor charges to MSHP; and continued development of dashboard functionality for proper reporting to NICS. 2) MSHP Overtime for Researching Missing Dispositions: The MSHP will support an overtime project for court and prosecutor employees to research missing disposition information. MSHP has identified two types of incomplete records that need to be researched: 1) records that have charges but lack a court case disposition; and 2) records that have an arrest, but no indication if charges were filed or denied. 3) MOPS Prosecuting Attorney Case Migration (\$40,000): MOPS will hire a contractor to build a PA case management program interface to import law enforcement data. 4) MOPS Karpel Interface: MOPS will hire a contractor to build an interface between Karpel and Uniform Traffic Citation (UTC) data that is currently transmitted from MSHP to OSCA. The interface between Karpel and MSHP will allow the UTC data to be transmitted directly to Karpel instead of going through OSCA's portal website. The Supreme Court has mandated that all UTC originated cases must be filed with the court electronically so the interface streamlines the process and reduces the time and eliminates manual filings. 5) MOPS Technology Automation Resource Prosecutor: MOPS will hire a FT Resource Prosecutor (RP) for 2-years who will be responsible for handling Technical Automation, Sex Offender, and Firearms-related questions, issues, and training. The RP will work independently and in coordination with OSCA and MSHP to coordinate the accuracy and completeness of the data transfer relating to criminal history reporting from prosecuting attorneys' offices throughout OSCA and the central repository. 6) MOPS In-House IT Specialist: MOPS will hire an IT Specialist for 2-years to provide technical assistance to prosecutors' office with program and equipment maintenance, addressing interface issues with other Criminal Justice partners such as OSCA and law enforcement agencies.

**Nebraska** (\$494,943) The Nebraska State Patrol will use funds for the following personnel and projects: 1) Continued funding for the NICS Program Manager as well as funding for an additional Crime Analyst position. 2) Funding to research and ensure the accuracy of nearly 20,000 identified historical misdemeanor crime of domestic violence records from the past seven years for potential entry into the NICS Indices. 3) Fund the development of an automated reporting solution similar to that of the existing system for misdemeanor crimes of domestic violence to ensure felony convictions are available at the national level. 4) Continued funding for the Douglas County Domestic Violence and Sexual Assault Program Manager, associated projects, and two crime analysts to support improved submissions of protection orders to NCIC.

**Nevada** (\$32,892) The Nevada Department of Public Safety will use funds to continue a program of outreach to all elements in the Criminal Justice Community statewide. In addition to the data entry work being conducted, the Criminal Records Division has undertaken a statewide outreach and education effort to make presentations to judges and other criminal justice agencies, including prosecutors to explain how each criminal justice agency from law enforcement to prosecutors to courts, has an important function to maintaining accurate criminal history records. Due to the positive feedback the Division has experienced with this outreach, the Division believe there is more demand for such presentations which are an integral part of ensuring reporting requirements are all being streamlined and standardized to meet the repository's requirements as part of Nevada's overall Records Improvement Plan.

**New York** (\$1,458,904) The NYS, Division of Criminal Justice Services (DCJS), will use funds to develop a 1) NICS Task Force: Activities includes assessing the completeness and availability of NICS records; identifying reasons for incomplete and/or unavailable NICS records; and continuing to refine and implement NY's NICS Record Improvement Plan. 2) Enhance NICS Reporting System: The Department of Health/Health Research, Inc. (DOH/HRI) will maintain and update its three modes of NICS record reporting: manual, bulk file upload and automated, record reporting processes, and provide ongoing support of its existing NICS system maintenance, processing and audit/compliance activities. DOH continually monitors/audits the timeliness and completeness/correctness of records reported by hospitals and works with facilities to mitigate errors immediately upon discovery of an issue. Through the NARIP funding, DOH will continue to work closely with the NYS Office of Mental Health to maintain and enhance the NICS reporting system to improve the completeness and accuracy of NICS reports submitted by Article 28 and Article 31 hospitals.

**Oklahoma** (\$480,045) The Oklahoma District Attorneys Council will sub-award funds to the Oklahoma County District Attorney's Office to complete the implementation of a modern case management software system with the ability to electronically receive arrest information from law enforcement agencies and submit timely and accurate case status and disposition information to the OSBI for inclusion into the databases screened by NICS. The Oklahoma District Attorneys Council will also sub-award funds to the Oklahoma State Bureau of Investigation (OSBI) to increase criminal history arrest and disposition data in Oklahoma's criminal history record database. By expanding an existing municipal court portal, the program will interface directly with district attorneys and municipal courts for immediate submission of pre-vetted, electronic arrest outcomes into the repository and create notifications requesting criminal defendants charged with reportable offenses be remanded for fingerprinting when prints were not previously taken.

**Oregon** (\$1,444,975) The Oregon State Police (OSP) will use funds for a NICS Contracted Record Reconciliation project. The objective of the Record Reconciliation project is to ensure records are readily available for firearm transfer background checks in support of public safety nationwide. The contract services activities will be to locate and resolve as many incomplete records as possible, seek solutions to improve the overall record reporting process long term, review and revise current methods of assessing the overall status of repository record completeness, and prepare a NICS record improvement plan based on key issues, known or identified gaps, resource needs, and a proposed timeline for making changes. In addition to the contracted services, OSP will hire one Operations and Policy Analyst to oversee all aspects of the contracted work to include monitoring the contractor deliverables, quality of records provided, statistical reports and progress, and the billing process of the work performed.

**South Carolina** (\$494,662) The South Carolina Law Enforcement Division (SLED) will use funds to continue the progress made with the technical personnel assigned to administering and maintaining the EDMS in order to ensure that all mental health adjudication orders are entered into NICS Indices in the time specified by the S.C. Code of Laws. Additionally, SLED will use funds for enhancements to its current Electronic Document Management System (EDMS). The first enhancement for NICS Reports and NICS Re-indexing will provide the capability to create reports for the NICS Unit Manager. The Re-indexing enhancements will allow for some of the errors that occur to be fixed in the Re-indexing screen and would resend the corrected transmission file. The second enhancement will give the EDMS administrator the ability to configure new automated documents and setup workflow processes for

these documents. This will help ensure that the EDMS system, which is SLED's electronic point of entry into the NICS system, has pivotal, additional functionality and features.

**Tennessee** (\$662,684) The Tennessee Department of Finance and Administration (TDFA) will sub-award funds to the Tennessee Bureau of Investigation (TBI) to aid in the state's efforts to improve criminal history records. TBI will have staff work overtime to research and manually flag appropriate records with disqualifying charges, and conduct queries of the state's CCH repository to identify and flag persons with past applicable disqualifying charges. Temporary staff will be used to review and submit backlogged dispositions, containing domestic violence related charges, into the state's CCH. TBI also plans to conduct an assessment of Tennessee's domestic violence and mental health records to improve the identification and reporting of these records to NICS. Findings will be published in a report recognizing strengths and weaknesses in the system. Funding will also be used to add the ability for booking agencies to indicate a domestic violence incident and the relationship between the victim and the perpetrator into livescan machines. Project management and administrative fees will be covered under this award.

**Virginia** (\$309,599) The Virginia State Police (VSP) will use funds to conduct two projects: 1) Microfilm/Microfiche Conversion project: The VSP will use funds to hire two contractors to conduct duties associated with converting old arrest and disposition information from microfilm or microfiche to digital images for the electronic archive information system. The Central Criminal Records Exchange (CCRE) is currently in the process of converting several million microfilm and microfiche records into digital images in an effort to preserve and provide easy accessibility of the documents. The records on microfilm and microfiche include the suspects' arrest documents and dispositions, and are categorized by state identification number. The documents currently on microfilm and microfiche are the only copies of the arrest, fingerprint and disposition information for the entire state that were submitted to the CCRE. 2) POC Accessibility at Gun Show Events and Outreach Activities: The VSP will use funds to cover personnel expenses for two part-time Administrative Procedures Specialist positions to research and resolve initially non-approved transactions by the determination of lawful eligibility of individuals to purchase firearms pursuant to state and federal law while physically located at the remote location. The Specialists will assist existing state-funded employees to provide NICS checks at all gun shows, and will provide associated outreach activities relevant to the sale of firearms by licensed firearms dealers and by the POC in the private sale of firearms not involving federal firearm licensees. The project supports the commitment of VSP as the POC to the NICS by enhancing the outreach activities that improve the accessibility of the VCheck system for firearm dealers and the gun purchasing population at gun shows throughout Virginia, and providing additional training to assist, monitor, and enforce firearm dealer compliance with the NICS requirements.

**The Swinomish Tribe (Washington)** (\$134,267) The Swinomish Tribe will use funds to hire a full-time employee to complete the historical records entry and the day-to-day entry from criminal and civil court dockets. The Tribe will also use funds to purchase a desk, a computer and office supplies.

**West Virginia** (\$1,851,348) The West Virginia Supreme Court (WVSC) will use funds to establish automated interfaces between the state record repository and the courts and to continue criminal records searches to locate and submit missing and incorrect dispositions. The Court will continue to make mental health, domestic violence, and other prohibiting record information available to NCIC and

the NICS Indices. With the enhancement and integration of electronic systems, it is critical to have dedicated personnel who provide data quality analysis and oversight on the various systems.