

FY 2012 NICS Act Record Improvement Program (NARIP) – Summary of Activities

Commonly used acronyms:

AFIS - Automated Fingerprint Identification System
CCH - Computerized Criminal History
IAFIS - Integrated Automated Fingerprint Identification System
III - Interstate Identification Index
NCIC - National Crime Information Center
NIEM – National Information Exchange Model
NFF - National Fingerprint File
NICS - National Instant Criminal Background Check System
XML - Extensible Markup Language

Arizona (\$1,012,166) The Arizona Criminal Justice Commission (ACJC) will use funds to complete tasks associated with the following projects: 1) subaward funds to the Arizona Department of Public Safety to research Maricopa Superior Court felony cases for which the Clerk of Court has not received a final disposition report for felony convictions, drug arrests and convictions, and domestic violence misdemeanor convictions. It is anticipated that final disposition forms will be created for 30,600 Superior Court cases for subsequent updating in III; 2) support consultant services to gather critical information on state systems and define the requirements, functional specifications, and technical design recommendations for NIEM compliant data exchanges; 3) purchase source software and cover programming expenses to implement data exchanges from booking agencies to the prosecutors for three counties to enable the implementation of NIEM-based data exchanges to share defendant and charging information to ensure full-charge disposition tracking from booking through court adjudication; 4) support project management services for the Arizona NICS Record Improvement Initiative to carry out the goals and objectives of the state's NICS Record Improvement Strategic Plan; 5) support the continuation of quarterly NICS Task Force meetings; 6) support ACJC staff time to research and analyze the state's criminal history records to help shape and inform the NICS Task Force priorities and needs; and 7) support administrative costs for ACJC staff to administer, monitor, and report subgrantee activities.

Connecticut (\$1,650,000) The Office of Policy and Management, the Judicial Branch, and Probate Courts will use funds to: 1) support a NICS Records Improvement Task Force to guide the development and implementation of both ongoing and long-range records improvement plans; 2) continue designing and building an electronic record system that will provide more timely and complete disposition records from the criminal courts and increase the completeness and number of records available for firearm determinations; and 3) streamline the conservatorship and commitment modules within the Probate Case Management System to allow court personnel to perform record validation and perform lookups by person, decree, case, attorney, and hospital to increase data integrity, reduce manual intervention, and minimize

duplicate records being reported to the state and NICS system; and 4) continue record auditing to improve the completeness, automation, and transmittal of relevant probate court records (specifically mental health information) to the NICS.

Florida (\$1,400,000) The Florida Department of Law Enforcement (FDLE) will use funds to complete tasks associated with the following projects: 1) begin efforts to update and modernize Florida's criminal history repository to improve the overall completeness, automation, and use of records by the state with the goal of increasing the data available to NICS and realizing increased time efficiencies and cost savings; 2) continue to support staff efforts to partner with the Clerks of Court to identify civil mental health adjudications that are firearm disqualifiers and enter the records into MECOM, Florida's Mental Competency database, and the NICS Index; and 3) continue to support staff efforts to partner with the Clerks of Court to research historic disposition records for firearm purchase decisions.

Idaho (\$279,848) The Idaho State Police and the Idaho Courts will carry out the following projects: 1) digitize backlogged fingerprint cards; 2) replace the state's store and forward server that transmits fingerprint cards to the state AFIS; 3) provide programming in the court's system to standardize the hair and eye color tables across counties; and, 4) update older mental health commitment records in Idaho's unified court system. Funds will also be used for court staff to attend training on topics related to the NICS system.

Illinois (\$1,650,000) The Illinois Criminal Justice Information Authority (ICJIA) will subcontract funds to the Illinois State Police (ISP) to continue efforts to automate the process of submitting qualifying mental health information and other disqualifying records into the NICS System. Funds will be used to establish and implement a NICS Reporting System to identify and report prohibited persons to NICS. The major features of the framework and components include: 1) A collaborative effort with the state's Circuit Clerks and the software vendors supporting them to better facilitate the submission of information related to court ordered adjudications which are not currently part of the criminal justice reporting process. 2) A NICS information management system that provides: a process for managing the eligibility determination and prohibitors for the transfer and possession of firearms; and a modular management system for submission and maintenance of NICS records. 3) Continue current efforts to improve data quality and exchange with the Department of Human Services (DHS). 4) Continue current efforts to improve ISP business processes supporting eligibility determinations.

Indiana (\$1,200,000) The Indiana Criminal Justice Institute will transfer funds to the Indiana Supreme Court's Judicial Automation and Technology Committee (JTAC) to deploy Odyssey, Indiana's court case management system, in two additional counties. With the deployment of Odyssey, felony convictions will be sent electronically to the state repository housed at the Indiana State Police (ISP) and accessible at the time of a background check. Funds will also be used to assist with the rewrite of Indiana's criminal history repository to include scanning, indexing, storage, and retrieval of document images. This project will provide ISP's Central

Repository with significantly updated and accurate information on over 300,000 electronically available criminal history records.

Kentucky (\$517,428) The Kentucky Justice and Public Safety Cabinet will transfer funds to the Kentucky State Police (KSP) and the Department of Corrections (DOC) to meet the technological and personnel needs that have been identified as necessary components to facilitate the successful exchange of criminal history information from both agencies to the FBI's NICS Index. The proposed projects will target 67.6% of records with felony charges that currently reside in the state's computerized criminal history (CCH) system without disposition information. Completion of these projects will result in a higher disposition rate for records within the CCH which have at least one felony charge, thereby increasing the number of dispositions updated at the federal level.

Missouri (\$1,204,247) The Missouri State Highway Patrol (MSHP) will work with the Office of the State Court Administrator (OSCA) and the Missouri Office of Prosecution Services (MOPS) to implement improved criminal history record capture procedures in Missouri courts, prosecutors' offices, and law enforcement agencies. Funds will be used to establish a NICS Improvement Task Force to guide the development and implementation of an ongoing long-range records improvement plan. Activities at MSHP include: developing a municipal courts criminal history interface, updating backlogged felony dispositions, and replacing obsolete livescan devices. OSCA will use funds to implement an interface between the remaining circuit courts and prosecutor offices to allow for the transmission of filing documents to the court case management system. MOPS will use funds to acquire the services of a technical services coordinator as project manager for the automated Karpel Case Management System used by prosecutors' offices statewide.

North Dakota (\$91,294) The North Dakota Office of Attorney General Bureau of Criminal Investigation (BCI) and Information Technology (IT) divisions will use funds to continue initiatives started in FY 2011. Specifically, funds will be used to continue to support the work of administrative staff to develop and implement procedures related to NICS submissions and address the additional workload that resulted due to an increase in the number of concealed weapon license applications submitted and processed. Duties will include serving as a liaison with the courts; conducting records checks; entering, querying, and reconciling data; submitting fingerprint cards; receiving, processing, and issuing permits; and providing testimony at administrative hearings or in court as requested to describe why an applicant was denied a concealed weapon license.

Nebraska (\$429,288) The Nebraska State Patrol will use funds to: 1) enhance a newly developed web portal to automate the reporting of mental health commitments to improve the transfer of information from the district courts to the NICS Index; 2) automate the process and improve reporting of protection order information into NCIC; 3) provide training to probation officers and county attorneys to improve identification and submission of disqualifying

misdemeanor crimes of domestic violence convictions to the NICS Index, and 4) utilize a professional contractor to assist the state in developing a comprehensive long range strategic plan to improve the quality, completeness, and availability of records to NICS.

Texas (\$488,841) The Texas Office of Court Administration (OCA) will use funds to increase the number of prohibiting mental health adjudications or commitments, mental retardation and guardianship records, particularly historical records, made available to NICS by employing staff to provide direct assistance to the district and county clerks responsible for this reporting requirement. Staff members funded through this award will continue to conduct physical reviews of mental health, probate and guardianship, and criminal case files and docket sheets to identify relevant records in counties in which the district and/or county clerk have indicated that not all relevant records have been identified or reported.

West Virginia (\$1,200,000) The West Virginia Supreme Court Administrative Offices will partner with the West Virginia State Police and West Virginia Criminal Justice and Community Service to complete tasks associated with a Disposition Project and a Mental Health NICS Database Project. Specifically, funds will be used to initiate efforts to resolve the current disposition backlog of about 44,000 records and prevent future backlogs as well as to implement systems to enable the automation and electronic submission of data to NICS. Funds will also be used to expand the existing reporting software system for mental health prohibitors to include persons unable to stand trial by reason of mental illness or insanity and persons found not guilty by reason of mental illness or insanity, expand the existing automated system to include additional mental health prohibitors, and support the work of a quality data manager to continue the state's efforts to verify mental health data daily, provide training and technical assistance, and complete assigned administrative tasks.